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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICANT: WOLF-GEORG FORSSMANN ET AL. (PCT)
SERIAL NO.: 09/242,254 FILED: FEBRUARY 12, 1999
PCT NO.: PCT/EP 97/04396 FILED: AUGUST 13, 1997
TITLE: A METHOD FOR DETECTING THE CONDITION OF AN
ORGANISM THROUGH THE MEASUREMENT OF PEPTIDES

SUBMISSION OF SMALL ENTITY DECLARATION AND
REQUEST FOR REFUND OF OFFICIAL FILING FEE

ATTN: REFUND SECTION, ACCOUNTING DEPT., OFFICE OF FINANCE
Assistant Commissioner for Patents
Washington, D.C. 20231

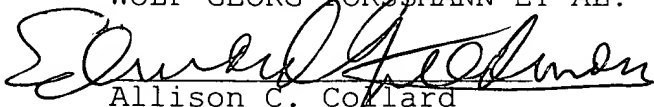
Dear Sir:

The undersigned agent for the above-identified applicants,
having herewith forwarded the attached SMALL ENTITY DECLARATION
in connection with the above-identified application, requests
that the official filing fee of \$840.00 be reduced 50% and would
now appreciate having \$420.00 credited to his Deposit Account No.
03-2468 in due course. A duplicate copy of this document is
attached for this purpose.

Repln. Ref: 08/17/1999 REPTON 0012432300
DAG: 032468 Name/Number: 09242254
FC: 704 \$840.00 CR

COLLARD & ROE, P.C.
1077 Northern Boulevard
Roslyn, New York 11576
(516) 365-9802

Respectfully submitted,
WOLF-GEORG FORSSMANN ET AL.


Allison C. Collard
Edward R. Freedman
Registration No. 26,048
Attorneys for Applicants

Enclosure: Small Entity Declaration

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner of Patents, Washington, D.C. 20231, on April 9, 1999.


Ingrid Mittendorf

UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND																							
1 Date of Request: <u>06/16/99</u>		2 Serial/Patent # <u>09/242,254</u>																					
3 Please refund the following fee(s): <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="width: 10%; text-align: center;"><input checked="" type="checkbox"/></td><td style="width: 90%;">Filing</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Amendment</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Extension of Time</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Notice of Appeal/Appeal</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Petition</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Issue</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Cert of Correction/Terminal Disc.</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Maintenance</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Assignment</td></tr> <tr><td style="text-align: center;"><input type="checkbox"/></td><td>Other</td></tr> </table>	<input checked="" type="checkbox"/>	Filing	<input type="checkbox"/>	Amendment	<input type="checkbox"/>	Extension of Time	<input type="checkbox"/>	Notice of Appeal/Appeal	<input type="checkbox"/>	Petition	<input type="checkbox"/>	Issue	<input type="checkbox"/>	Cert of Correction/Terminal Disc.	<input type="checkbox"/>	Maintenance	<input type="checkbox"/>	Assignment	<input type="checkbox"/>	Other	4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
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8 TO BE REFUNDED BY:																							
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<div style="font-size: 1.2em; font-family: cursive;">Small Entity Claimed.</div>																							
11 REFUND REQUESTED BY:																							
TYPED/PRINTED NAME: <u>Michael Brown</u>		TITLE: <u>21E</u>																					
SIGNATURE: <u>Michael A. Brown</u>		PHONE: <u>308-1132</u>																					
OFFICE: <u>Gr. 1600 AUL641</u>																							
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APPROVED: <u>[Signature]</u>		DATE: <u>07/12/99</u>																					

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT OR PATENTEE: Wolf-Georg FORSSMANN ET AL

SERIAL OR PATENT NO.: PCT/EP97/04396

FILED OR ISSUED: Aug. 13, 1997

GROUP:

TITLE: A METHOD FOR DETECTING THE CONDITION OF AN ORGANISM THROUGH THE MEASUREMENT OF PEPTIDES

SMALL ENTITY DECLARATION

☐ FOR INDEPENDENT INVENTOR(S)

As a below-named inventor, I hereby declare that I am an independent inventor who (1) has not assigned, granted, conveyed, or licensed, and (2) is under no obligation under contract or law, to assign, grant, convey, or license, any rights in the invention, to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization, as defined in 37 CFR 1.9(c).

☒ FOR SMALL BUSINESS CONCERN

I hereby declare that BIOVISION GmbH & Co. KG is a business concern which qualifies as a small business concern as defined in §1.9(d) - namely, (1) whose number of employees, including those of its affiliates, does not exceed 500 persons; and (2) which has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section; and that the exclusive rights to the invention have been conveyed to and remain with the above-identified small business concern.

I further declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements and the like, so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the patent application or any patent issuing thereon.

Each of the undersigned hereby grants the firm of COLLARD & ROE, P.C., 1077 Northern Boulevard, Roslyn, New York 11576, U.S.A., the power to insert in this Small Entity Declaration any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for filing and acceptance of this Declaration.

INVENTOR(S)

SMALL BUSINESS CONCERN:

Name:

Date:

Name:

Date:

X By Peter Schulte Knappe
X Name: Peter Schulte Knappe
X Title: Chief Executive Officer
X Date: 15/02/1999